



डा० ए०पी०जे० अब्दुल कलाम प्राविधिक विश्वविद्यालय उत्तर प्रदेश

सेक्टर-11, जानकीपुरम विस्तार योजना, लखनऊ-226031

Ref. No.: AKTU/Dean-PGSR/2024/7788

Dated: 12 June, 2024

सेवा में,

निदेशक / प्राचार्य

विश्वविद्यालय से सम्बद्ध एम०टेक०, एम०फार्म एवं

एम०आर्क पाठ्यक्रम संचालित करने वाले समस्त संस्थायें।

विषय: सत्र 2024-25 की एम०टेक०, एम०फार्म एवं एम०आर्क पाठ्यक्रम के रेगुलर एवं कैरी ओवर विद्यार्थियों के डिजरटेशन (Dissertation) के मूल्यांकन एवं मौखिक परीक्षाओं के सम्बन्ध में।

महोदय,

कृपया उपर्युक्त विषयक के सम्बन्ध में सूचित करना है कि सत्र 2024-25 के एम०टेक०, एम०फार्म एवं एम०यू०आर०पी०/एम०आर्क० पाठ्यक्रम के रेगुलर एवं कैरी ओवर छात्र/छात्राओं के डिजरटेशन (Dissertation) का मूल्यांकन एवं मौखिक परीक्षाएँ ऑफलाइन मोड में जून/जुलाई 2025 माह में आयोजित की जा रही है।

उक्त के सम्बन्ध में सूचित करना है कि परीक्षा विभाग के पत्रांक-ए०के०टी०यू०/प०नि०का०/2024/1395 दिनांक 26.11.2024 के अनुसार एम०टेक०, एम०फार्म एवं एम०आर्क सत्र 2020-21, 2021-22, 2022-23 एवं 2023-24 में पंजीकृत छात्र/छात्राओं के डिजरटेशन (Dissertation) का मूल्यांकन संबंधित आर्डिनेन्स के अनुसार किया जायेगा। संबंधित छात्र/छात्रा, सुपरवाइजर एवं निदेशक यह सुनिश्चित कर लें की PG Dissertation की जांच संलग्नित Plagiarism गाइडलाइन्स (परीक्षा नियंत्रक के पत्रांक ए०के०टी०यू०/प०नि०का०/2020/3959 दिनांक 22.07.2020) के अनुसार, Turnitin software के माध्यम से कर ली गयी है तथा इस संबंध में certificate of non-plagiarism duly countersigned by the dissertation supervisor/guide, duly forwarded by college along with PG Dissertation and Turnitin plagiarism check report. विश्वविद्यालय अपने स्तर से भी Dissertation की जांच एवं plagiarism check, Turnitin software के माध्यम से कर सकता है एवं अपलोडेड PG Dissertation, गाइडलाइन के अनुसार न पाये जाने की स्थिति में Dissertation को रिजेक्ट करते हुए संबंधित संस्थान/सुपरवाइजर/छात्र/छात्रा पर नियमानुसार कार्यवाही कर सकता है।

PG Dissertation की formatting, Ph.D/M.Tech/M.Pharma thesis preparation, guideline (refer AKTU website) के अनुसार होने पर नियमानुसार मूल्यांकन के लिए स्वीकार की जायेगी।

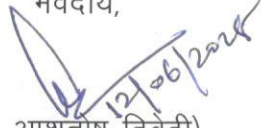
उक्त के क्रम में सूचित करना है कि समस्त अर्ह छात्र/छात्राओं का आवेदन संस्थान अपने निदेशक ई०आर०पी० लॉगिन से दिनांक 13 जून, 2025 से 26 जून, 2025 के मध्य तक प्रत्येक दशा में अपलोड करना सुनिश्चित करेंगे। संबंधित सभी Information/ Sessionals को सही से भरा जाना तथा थीसिस का M.S. Word Format (as per AKTU Guidelines) में अपलोड किया जाना अत्यन्त आवश्यक होगा। तत्पश्चात छात्र अपनी ई०आर०पी० लॉगिन से देय शुल्क का भुगतान करेंगे। शुल्क भुगतान के उपरान्त ही आवेदन स्वीकार किया जायेगा अन्यथा आवेदन निरस्त कर दिया जायेगा। किसी अन्य माध्यम अथवा उक्त दिनांक के उपरान्त कोई आवेदन स्वीकार नहीं किया जाएगा।

नोट:-

1. पूर्ण थीसिस कवर पेज के साथ एवं संलग्न प्रारूप हस्ताक्षर सहित सिंगल फाइल के रूप में अपलोड करें।
2. थीसिस का प्लेगरिज्म चेक करते समय विशेष ध्यान रखें कि थीसिस प्लेगरिज्म चेंकिंग सॉफ्टवेयर की किसी भी रेपोजेट्री में जमा न की जाये।
3. Plagiarism गाइडलाइन, परीक्षा नियंत्रक के पत्रांक-ए0के0टी0यू0/प0नि0का0/2020/ 3959 दिनांक 22.07.2020 के अनुसार ही होना चाहिए।
उपरोक्त का पूर्ण अनुपालन न किये जाने की स्थिति में Dissertation/थीसिस, मूल्यांकन हेतु स्वीकार नहीं की जाएगी एवं इस हेतु समस्त उत्तरदायित्व संबंधित छात्र/छात्रा/ सुपरवाइज़र/संस्थान की होगी।

अतः आपसे अनुरोध है कि अपने संस्थान में अध्ययनरत छात्र/छात्राओं को उपरोक्त के संबंध में सूचित करते हुए आवश्यक कार्यवाही करने का कष्ट करें।


संलग्नक:-यथोक्त।

भवदीय,

(डा0 आशुतोष द्विवेदी)
उप परीक्षा नियंत्रक

पृष्ठांकन संख्या व दिनांक: उपरोक्त।

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित।

1. कुलसचिव/वित्त अधिकारी, ए0के0टी0यू0, लखनऊ।
2. परीक्षा नियंत्रक, ए0के0टी0यू0, लखनऊ।
3. प्रभारी, ई0आर0पी0, ए0के0टी0यू0, लखनऊ।
4. स्टाफ आफिसर, कुलपति, ए0के0टी0यू0, लखनऊ को मा0 कुलपति महोदय के अवलोकनार्थ।


(डा0 आशुतोष द्विवेदी)
उप परीक्षा नियंत्रक

AKTU Guidelines and Regulations on Plagiarism

1. The University Grant Commission (UGC) announced on 3rd August' 2018 that the *UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018* has been considered and approved. The rules came into effect retroactively from 23 July' 2018.
2. This regulation defines plagiarism as 'taking someone else's work or idea and passing them as one's own', and will apply to the 867 universities and their affiliated institutions that report to the nation's education regulator, the University Grants Commission (UGC).
3. India has for the first time introduced regulations to detect and punish acts of plagiarism at universities. Punishments for researchers or students caught breaking the rules range from manuscript being withdrawn to sacking or expulsion, depending on the extent of the plagiarism. Previously, punishments for researchers caught plagiarizing were left to the discretion of the institution. The new rules framed by AKTU also make it mandatory for institutions to use plagiarism detection software on students' thesis and researchers' manuscripts.
4. *Plagiarism is defined as the unacknowledged use of the work of others, as if this were your own original work.* A student/faculty may be found guilty of an act of plagiarism irrespective of intent to deceive. Guidelines on Regulations on Plagiarism of Dr APJ Abdul Kalam University is framed w.e.f January 1, 2019 are as follows:

1. The scope of plagiarism

- (a) Plagiarism may be due to:
 - **Copying** (using another person's language and/or ideas as if they are your own);
 - **Collusion** (unauthorized collaboration).
- (b) Plagiarism methods include:
 - **Quoting directly** another person's language, data or illustrations without clear indication that the authorship is not your own and due acknowledgement of the source;
 - **Paraphrasing** the critical work of others without due acknowledgement – even if you change some words or the order of the words, this is still plagiarism if you are using someone else's original ideas and are not properly acknowledging it;
 - **Using ideas** taken from someone else without reference to the originator;
 - **Cutting and pasting** from the Internet to make a 'pastiche' of online sources;
 - **Colluding** with another person, including another candidate (other than as might be permitted for joint project work);
 - **Submitting** as part of your own report or dissertation someone else's work without identifying clearly who did the work (for example, where research has been contributed by others to a joint project).

2. How to avoid plagiarism

The following guidelines should be taken to avoid plagiarism, self-plagiarism and other questionable writing practices:

Guideline 1: An ethical writer ALWAYS acknowledges the contributions of others to his/her work.

Guideline 2: Any verbatim text taken from another source must be enclosed in quotation marks and be accompanied by a citation to indicate its origin.

Guideline 3: When we summarize others' work, we use our own words to condense and convey others' contributions in a shorter version of the original.

Guideline 4: Whether we are paraphrasing or summarizing we must always identify the source of our information.

Guideline 5: When in doubt as to whether a concept or fact is common knowledge, provide a citation.

Guideline 6: Because some instances of plagiarism, self-plagiarism, and even some writing practices that might otherwise be acceptable (e.g., extensive paraphrasing or quoting of key elements of a book) can constitute copyright infringement, authors are strongly encouraged to become familiar with basic elements of copyright law.

Guideline 7: Only those individuals who have made substantive contributions to a project merit authorship in a paper.

Guideline 8: Faculty-student collaborations should follow the same criteria to establish legal authorship. Mentors must exercise great care to neither award authorship to students whose contributions do not merit it, nor to deny authorship and due credit to the work of students.

3. Plagiarism Checking Process

The plagiarism checking process shall contain the following steps:

1. The submitted thesis shall be checked the plagiarism through *Turnitin/ Grammarly/ Plagium/ Plagscan/ Plagiarisma* software by choosing "10 continuous similar words".
2. For M.Tech./ Ph.D. thesis, "*literature review*" chapter will be also be included in plagiarism checking.
3. The maximum similarity index should not be more than 20% for complete thesis and should not be more than 5% in case of individual references including reference from author's own previous works.

4. Self-plagiarism

If an essay or dissertation builds on an individual's previous work, it is essential that this is clearly identified in the text and is appropriately referenced as if it were written by a different person. The assessors should be in no doubt as to what work the student has completed in his/her current degree course that will be assessed. When submitting project report, students will declare that no part of their work has already been submitted, or is being submitted, for any other project work of this or other university for any academic program.

5. Levels of Plagiarism

Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition:

- i. Level 0: Similarities up to 20% can be termed as minor similarities (invokes no penalty)
- ii. Level 1: Similarities above 20% to 40% (invokes moderate penalty)
- iii. Level 2: Similarities above 40% to 60% (invokes substantial penalty)
- iv. Level 3: Similarities above 60% (invokes severe penalty)

Note: All references, bibliography, table of content, preface, acknowledgement, generic terms, laws, keywords, standard symbols and equations **must be excluded** from plagiarism check.

6. Penalties

The guidelines should have penalties for students as well as faculty members found with plagiarised content. For students, plagiarism of up to 20 percent would not invite any penalty, while that of between 20 percent to 40 percent would mean the students have to submit a revised research

paper/thesis within six months. In case the similarities are between 40 percent to 60 percent, students will be debarred from submitting a revised document for one year. However, for plagiarism of more than 60 percent, a student's registration for a programme will be cancelled.

Teachers whose academic and research papers have similarities ranging from 20 percent to 40 percent with other papers should be asked to withdraw the manuscript. In case the similarities are between 40 percent and 60 percent, they will not be allowed to supervise new Master's/PhD students for two years and will also be denied the right to one annual increment. In case of repeat plagiarism of over 60 percent similarity, the faculty members should be suspended or dismissed.

Note 1: Penalty on repeated plagiarism: Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

Note 2: Penalty in case where the degree/credit has already been obtained: If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IRP and approved by the Head of the Institution.

7. Appeal

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the Master's & PhD level, as well as researchers (faculty & staff of the university) registered in various research programs only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been **exhausted** and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

8. Detection/Reporting/Handling of Plagiarism

If any member of the academic community suspects with appropriate proof that a case of plagiarism has happened in any document related to submission of **Master's thesis/dissertation and research papers**, he or she shall report it to the Institutional Research Panel (IRP). Similar cases related to **PhD submissions** should be reported to University Research panel (URP). Upon receipt of such a complaint or allegation the URP shall investigate the matter and submit its recommendations to the Vice-Chancellor.

9. Institutional Research Panel (IRP)

- i. All institutions shall form an IRP whose composition shall be as given below:
 - a. Chairman: Dean (R&D)/Senior Academician of the institution.
 - b. Member: Senior Academician to be nominated by the Head of Institution.
 - c. Member: A person well versed with anti-plagiarism tools, to be nominated by the Head of the Institution.
- ii. The IRP shall have the power to recommendations including penalties with due justification for Master's theses/dissertations submitted by a student as well as research papers of students/staffs/faculty members submitted for academic credits/appraisals.
- iv. The IRP shall send the report after investigation and the recommendation on penalties to be imposed, to the Head of the Institution within a period of 30 days from the date of receipt complaint /initiation of the proceedings.
- v. Final course of action should be decided by the Head of Institution.

10. University Research Panel (URP)

- i. A panel shall be constituted by the university whose composition shall be as given below:
 - a. Chairman: Pro-VC/Dean (Research)/Controller of Examination/ Dean (PG)

- b. Member: Senior and renowned academician from outside the university, to be nominated by the Vice Chancellor of university
- c. Member: Senior academician from affiliated institutions of the university, to be nominated by the Vice Chancellor of university
- d. Member: A person well versed with anti plagiarism tools, to be nominated by the Vice Chancellor of university
- ii. The URP shall follow the principles of natural justice while deciding about any allegation of plagiarism against a person (student, faculty, researcher and staff).
- iii. The URP shall have the power to assess the level of plagiarism and recommend penalties accordingly within a period of 30 days.
- iv. The authorities of URP can also take *suo-moto* notice of an act of plagiarism and initiate proceedings under these regulations. They may randomly pick around 25% of the total PhD submissions in an academic session for the prevention of plagiarism, maintain academic integrity and curb un-ethical research practices.

11. Suggestions to curb plagiarism

- i. Plagiarism/similarity level to be checked using appropriate software and the software should be made available in all affiliated college having Master's degree program/PhD program. Some of the popular software tools used by researchers are **Turnitin, Grammarly, Plagium, Plagscan, Plagiarisma**. Institute can have any one such software.
- ii. One unit/topic can be added on Plagiarism in the syllabus of the subject titled 'Research Methodology' (Report writing section).
- iii. Training/workshops may be organized on the topic of plagiarism in order to spread awareness among faculties, staffs and students.
- iv. Undertaking should be taken from students indicating original and plagiarized free work. Plagiarism report should be made available in the form of **a certificate** that must be generated from the tool used to check thesis/report, which subsequently be attached with the thesis/document during submission.
- v. Each supervisor shall submit a bonafide certificate indicating original and plagiarism free work of a student.
- vi. Colleges and University must maintain the softcopies of submitted documents/reports in their databases for any kind of future references.

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Dr. A.P.J. Abdul Kalam Technical University
U.P., Lucknow

CERTIFICATE OF THESIS SUBMISSION FOR EVALUATION

1. Name:
2. Enrollment No.:
3. Thesis title:
.....
4. Degree for which the thesis is submitted:.....
5. Thesis preparation guideline was referred to for preparing the thesis. YES ☐ NO ☐
6. Specifications regarding thesis format have been closely followed. YES ☐ NO ☐
7. The contents of the thesis have been organized based on the guidelines. YES ☐ NO ☐
8. The thesis has been prepared without resorting to plagiarism.
(report attached) YES ☐ NO ☐
9. All sources used have been cited appropriately. YES ☐ NO ☐
10. The thesis has not been submitted elsewhere for a degree. YES ☐ NO ☐
12. Whether requirements of research publication as per clause 20.2 (ii)
of respective ordinance is fulfilled (proof to be attached). YES ☐ NO ☐
13. Whether submitted under the clause 20.2 (iii) of respective ordinance YES ☐ NO ☐

(Signature of the Candidate)

Name:

Enrollment No.:

Recommended that the thesis be submitted for evaluation

(Thesis Supervisor)

(Head of the Institute
with seal)